

***Cover Page***

**ADAM HORNING**

**Tuscany Plaza  
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Greenwood Village, CO 80111**

**Phone: (303) 667-5117**

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**FORM ADV PART 2  
BROCHURE SUPPLEMENT**

**This brochure supplement provides information about Adam Horning that supplements the Peak Financial Guidance LLC brochure. You should have received a copy of that brochure. Please contact Adam Horning if you did not receive a Peak Financial Guidance LLC's brochure or if you have questions about this supplement. Mr. Horning's CRD number is 4508087.**

**Additional information about Adam Horning is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

Form ADV, Part 2B, Item 2

***Educational Background and Business Experience***

Adam Horning  
Managing Principal and CCO  
Year of Birth: 1977

**Business Background:**

Peak Financial Guidance LLC, Managing Principal and CCO, December 2019 - Present

Northwestern Mutual, Wealth Management Advisor, June 2006 – October 2019

**Educational Background:**

Central Michigan University, BAA – Commercial Recreation and Facilities Management,  
Graduated: 2001

Form ADV, Part 2B, Item 3

***Disciplinary Information***

Mr. Horning does not have any reportable disciplinary disclosures.

Form ADV, Part 2B, Item 4

***Other Business Activities***

Adam Horning has a financial industry affiliated business as an independent insurance agent. Not more than 30% of his time is spent on this activity. From time to time, he offers clients advice or products from this activity. He may receive separate yet typical compensation in the form of commissions for the sale of insurance products.

Certain affiliated persons of PFG are insurance licensed and creates a conflict of interest because it creates an incentive for these individuals to recommend the purchase of insurance for which they receive additional compensation. Please see Item 10 for more information regarding this conflict of interest.

Mr. Horning also owns rental properties.

Form ADV, Part 2B, Item 5

***Additional Compensation***

Adam Horning does not receive any economic benefit from anyone, who is not a client, for providing advisory services.

Form ADV, Part 2B, Item 6

***Supervision***

Peak Financial Guidance LLC has written supervisory procedures in place that are reasonably designed to detect and prevent violations of the securities laws, rules, and regulations of the Colorado Securities Act; Mr. Horning will adhere to these procedures. Mr. Horning is Peak Financial Guidance LLC's Chief Compliance Officer and responsible for all of the activities that occur on behalf of Peak Financial Guidance LLC and its clients.

Form ADV, Part 2B, Item 7

***Requirements for State-Registered Advisers***

Adam Horning does not have any reportable disciplinary events required to be disclosed in this section.